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August 2, 2005

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Gerard Harbers, Matthijs H. Keuper, Daniel A. Steigerwald  
Assignee: Lumileds Lighting, U.S., LLC  
Title: Illumination System with LEDs  
Serial No.: 10/782,248  
Examiner: Wai Sing Louie  
Docket No.: LUM-03-08-01 US  
Filed: February 18, 2004  
Group Art Unit: 2814

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter;
- (3) Information Disclosure Statement (2 pages);
- (4) Forms PTO/SB/08A and PTO/SB/08B (2 pages);
- (5) Five (5) Cited References;
- (6) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

<input type="checkbox"/>	Please charge our Deposit Account No. 502226 in the amount of	\$	0.00
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.		
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502226.		
Total: \$			0.00

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Attorney for Applicant(s)

8/2/05  
Date of Signature

Respectfully submitted,

Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Gerard Harbers, Matthijs H. Keuper, Daniel A. Steigerwald  
Assignee: Lumileds Lighting, U.S., LLC  
Title: Illumination System with LEDs  
Serial No.: 10/782,248 Filing Date: February 18, 2005  
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San Jose, California  
August 2, 2005

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(c) - NO FEE  
WITH CERTIFICATION UNDER 37 CFR § 1.97(e)(1)**

Dear Sir:

Pursuant to 37 C.F.R. § § 1.56, 1.97 and 1.98, Applicants wish to call the documents listed on the enclosed forms PTO/SB/08A and PTO/SB/08B to the Examiner's attention for the above-identified patent application. Copies of the foreign documents are enclosed. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived. The documents were first cited by in a corresponding foreign , Application No. 05100676.5, on May 17, 2005.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 2, 2005.

R. Leiterman 8/2/05  
Attorney for Applicants Date

Respectfully submitted,

R. Leiterman

Rachel V. Leiterman  
Attorney for Applicants  
Reg. No. 46,868

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/782,248
Filing Date	February 18, 2004
First Named Inventor	Gerard Harbers
Art Unit	2814
Examiner Name	Wai Sing Louie
Attorney Docket Number	LUM-03-08-01 US

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

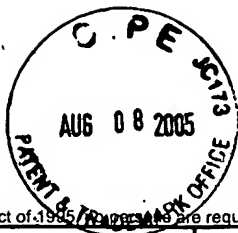
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 592 756 A1	04-20-1994	Volker Goertz Papier		
		EP 0 269 337 A2	06-01-1988	AT&T Company		
		JP 2003 330109 A	11-19-2003	Seiko Epson Corp.		
		JP 2003 218017 A	07-31-2003	Ricoh Co Ltd.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/782,248
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First Named Inventor	Gerard Harbers
Art Unit	2814
Examiner Name	Wai Sing Louie
Attorney Docket Number	LUM-03-08-01 US

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Search Report, 3 pages	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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